Analysis of Algorithms WS 2022 Prof. Dr. P. Rossmanith M. Gehnen, H. Lotze, D. Mock



Date: 16 February 2023

# Old Exam (2014) with solutions 0

This is an old exam from 2014.

# Task T1

Consider the following algorithm for searching an array  $a[1, \ldots, n]$  for an element x. We assume that the array is sorted in increasing order and that the element x is at some random location in the array. Let  $B_n$  be the expected number of comparisons on an n-element array. Write down a recurrence for  $B_n$ . What is  $B_3$ ?

# Algorithm: Binary Search with randomly chosen pivot element

- 1. Choose randomly and with uniform probability an  $i \in \{1, \ldots, n\}$ .
- 2. If a[i] = x, output *i* and halt.
- 3. Continue recursively on the left subarray, if x < a[i], or the right subarray, if x > a[i].

### Solution

There are two cases to consider here: The first is that the element x is found at the randomly chosen location i. This happens with a probability of 1/n. With a probability of 1 - 1/n, the search continues and the element is found at the recursive step. Now if the element x is found at the recursive step then the expected number of comparisons made is:

$$1 + \frac{1}{n} \left( \sum_{k=1}^{n} \frac{k-1}{n-1} B_{k-1} + \sum_{k=1}^{n} \frac{n-k}{n-1} B_{n-k} \right).$$

This may be explained as follows: In this case, one comparison is made and the search is carried on in either the left or right subarray. Now the probability that the index chosen is k is 1/n. The probability that the element being searched for is in the left subarray is (k-1)/(n-1), since there are n-1 possibilities and there are k-1 of them to the left. The last term above is the expected number of comparisons made if the element is in the right subarray. Now the expected number of comparisons is:

$$B_n = \frac{1}{n} + \frac{n-1}{n} \left( 1 + \sum_{k=1}^n \left( \frac{k-1}{n-1} B_{k-1} + \frac{n-k}{n-1} B_{n-k} \right) \right).$$

This may be written as follows:

$$B_n = 1 + \frac{2}{n^2} \sum_{k=0}^{n-1} k B_k.$$

Now,  $B_1 = 1$ ,  $B_2 = \frac{3}{2}$ , and  $B_3 = \frac{17}{9}$ .

#### Task T2

An alphabet  $\Sigma$  consists of two numeric characters 1, 2 and four alphabetic characters a, b, c, d. Find and solve a recurrence relation for the number of words of length n in  $\Sigma^*$ , where there are no consecutive (identical or distinct) numeric characters.

# Solution

Let the number of *n*-length strings be  $A_n$ . Then  $A_0 = 1$  and  $A_1 = 6$ . If the first letter is alphabetic, then there are  $4A_{n-1}$  strings. If the first letter is numeric, then the second letter must be alphabetic and there are  $8A_{n-2}$  strings. Thus the recurrence we are seeking is:

$$A_n = 4A_{n-1} + 8A_{n-2}$$
, with  $A_0 = 1$  and  $A_1 = 6$ .

# Task T3

Find an expression for

$$[z^n]\frac{1}{(1-z)^2}\ln\frac{1}{1-z}.$$

Your solution can include a sum!

### Solution

Define  $\bar{H}_n = 0$  if n = 0 and  $\bar{H}_n = H_n$  for  $n \ge 1$ . We may write down the given function as:

$$\frac{1}{(1-z)^2} \ln \frac{1}{1-z} = \sum_{n=0}^{\infty} z^n \sum_{n=0}^{\infty} \bar{H}_n z^n$$
$$= \sum_{n=0}^{\infty} \sum_{k=0}^{n} \bar{H}_k z^n.$$

Thus the coefficient of  $z^n$  is  $\sum_{k=0}^n \bar{H}_k$ .

## Task T4

Sort the series with the following generating functions by their asymptotic growth. Justify your steps!

1.  $A(z) = \frac{1}{\sqrt{2-\frac{1}{z}}}$ . 2.  $B(z) = \frac{z}{2-3z+z^2}$ . 3.  $C(z) = \frac{e^{-z-z^2/2}}{1-z}$ .

## Solution

We first determine the exponential growth of each series. Maybe we can derive an order from it.

The dominant singularity of A(z) is 1/2, hence  $A_n \approx 2^n$ .

The series B(z) has the singularities 1 and 2. Hence  $B_n \approx 1$ .

The dominant singularity of C(z) is 1, so  $[z^n]C(z)$  has the same exponential growth.

So far we have determined that  $[z^n]A(z)$  grows faster asymptotically than the other two. Now we have to compare both in more detail using singularity analysis.

As 1 is a singularity of first order, we compute  $\lim_{z\to 1}(1-z)B(z)$  which is 1. Hence we get  $B_n = 1 + o(1)$ . Doing the same for C(z) we get that  $\lim_{z\to 1}(1-z)C(z)$  is  $e^{-3/2}$  and  $C_n = e^{-3/2} + o(1)$  which is asymptotically smaller than  $B_n$ .

Hence we get that following order of asymptotic growth:  $C_n \leq B_n \leq A_n$ .